

# I. Hard rods on discrete lattices

## Density functional theory (DFT)

$$F = F[\rho_i(\mathbf{s})]$$

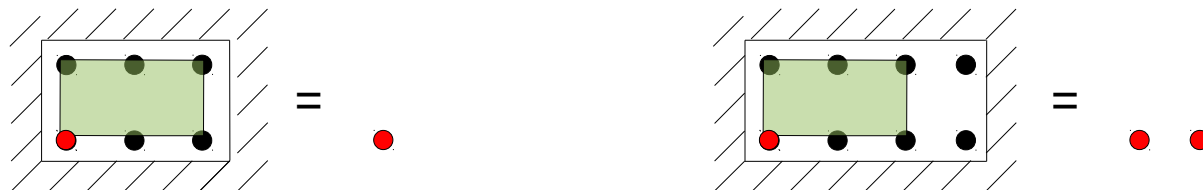
(Free energy is a unique functional of the density distribution over the lattice sites:  $\rho_i(\mathbf{s}) = n_i(\mathbf{s})/\text{site}$ , i.e. the functional does not depend on the external potential  $V_i(\mathbf{s})$ )

$$F = F^{\text{id}} + F^{\text{ex}} = \underbrace{\beta^{-1} \sum_{\mathbf{s}} \sum_i \rho_i(\mathbf{s}) (\log \rho_i(\mathbf{s}) - 1)}_{\text{ideal gas contribution}} + F^{\text{ex}}$$

There is a constructive procedure to obtain good approximations for  $F^{\text{ex}}[\rho]$

### Dimensional crossover:

- imagine cavities which can hold exactly one rod

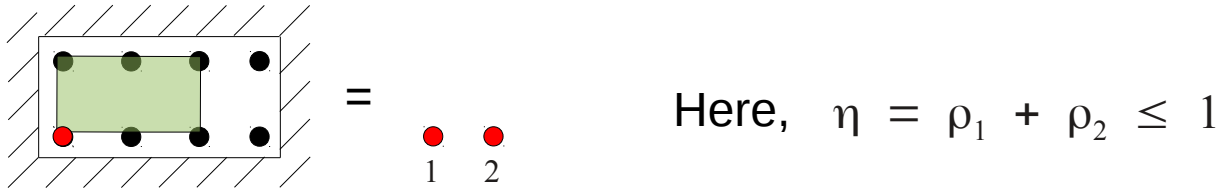


Excess free energy of such a cavity is known exactly:  $\beta F^{\text{ex},0\text{D}} = \Phi^{0\text{D}}$

- $F^{\text{ex}}[\rho]$  must deliver  $\Phi^{0\text{D}}$  if density distributions are restricted to such a cavity!

# I. Hard rods on discrete lattices: 0D excess free energy

- packing fraction  $\eta \leq 1$  = filling probability of cavity



- excess chemical potential = excess free energy needed to put one rod into cavity

$$\mu^{\text{ex}} = -\beta^{-1} \log \langle e^{-\beta H_{1p}} \rangle \quad *$$

$H_{1p}$  : energy (Hamiltonian) for placing particle into cavity

$\infty$  if cavity is filled

$0$  if cavity is empty

$$\mu^{\text{ex}} = -\beta^{-1} \log(1 - \eta)$$

\* is called „potential distribution theorem“

It looks like the free energy from the partition function for 1 rod in a statistical environment.

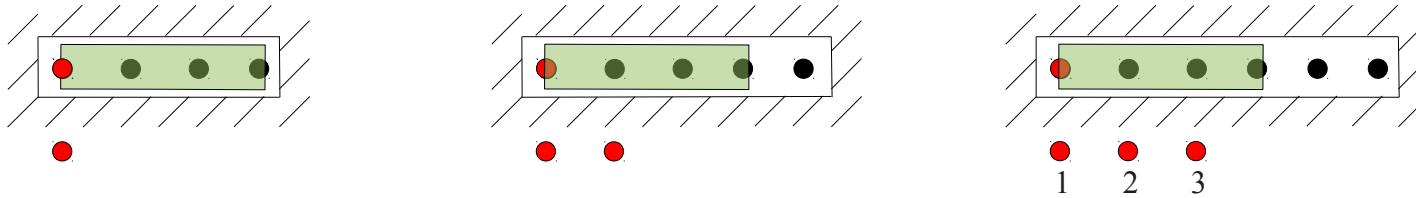
- thermodynamic relation:

$$\beta \mu^{\text{ex}} = \frac{d \Phi^{0D}}{d \eta} \rightarrow \Phi^{0D} = \eta + (1 - \eta) \log(1 - \eta)$$

# I. Hard rods on discrete lattices: LC functional - 1D

We will show that for hard rods (1D) this will generate the exact free energy functional!

Example: One component,  $L = 3$   
There are 3 cavities:



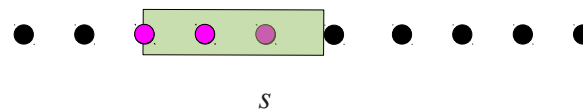
Only the largest (maximal) cavity is relevant, others are contained in it.

Packing fraction  $\eta = \rho_1 + \rho_2 + \rho_3$   
Free Energy  $\Phi^{0D} = \eta + (1-\eta)\log(1-\eta)$

Note that such a cavity could be anywhere in the system (not just at the points  $s = 1, 2, 3$ ).

Trial functional:  $\beta F^{\text{ex}}[\rho] = \sum_{s=-\infty}^{\infty} \Phi^{0D}(n^{(1)}(s))$

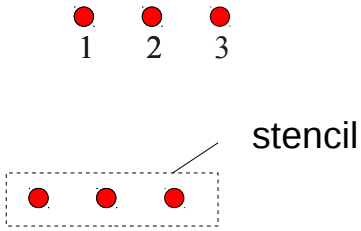
$$n^{(1)}(s) = \sum_{s'=s-L+1}^s \rho_{s'}$$



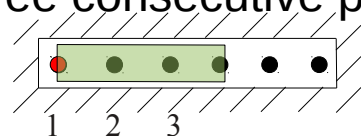
That is a weighted density which sums over all densities at points  $s'$  since associated rods at  $s'$  cover the point  $s$ !

# I. Hard rods on discrete lattices: LC functional - 1D

$$\beta F^{\text{ex}}[\rho] = \sum_{s=-\infty}^{\infty} \Phi^{0\text{D}}(n^{(1)}(s))$$

$$n^{(1)}(s) = \sum_{s'=s-L+1}^s \rho_{s'}$$


Not yet OK. The sum over  $s$  means that you go over the 1D lattice with a stencil that cuts out three consecutive points. When you apply this to the maximal cavity:



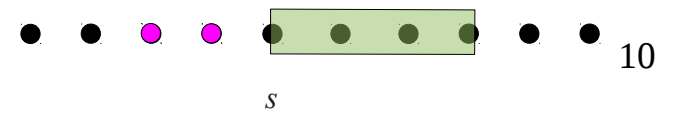
$$\beta F^{\text{ex}}[\rho_{\text{cav}}] = \Phi^{0\text{D}}(\text{red}_1) + \Phi^{0\text{D}}(\text{red}_1, \text{red}_2) + \Phi^{0\text{D}}(\text{red}_1, \text{red}_2, \text{red}_3) + \Phi^{0\text{D}}(\text{red}_2, \text{red}_3) + \Phi^{0\text{D}}(\text{red}_3)$$

↓
↓
✓
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need to be ...
...eliminated

Elimination can be done with:

$$\beta F^{\text{ex}}[\rho] = \sum_{s=-\infty}^{\infty} \Phi^{0\text{D}}(n^{(1)}(s)) - \sum_{s=-\infty}^{\infty} \Phi^{0\text{D}}(n^{(0)}(s))$$

$$n^{(0)}(s) = \sum_{s'=s-L+1}^{s-1} \rho_{s'}$$


# I. Hard rods on discrete lattices: LC functional - 1D

That's it! The functional

$$\beta F^{\text{ex}}[\rho] = \sum_{s=-\infty}^{\infty} \Phi^{0\text{D}}(n^{(1)}(s)) - \sum_{s=-\infty}^{\infty} \Phi^{0\text{D}}(n^{(0)}(s))$$

is not only correct for all 0D cavities, but for all density distributions!  
(Percus 1976, 1989 using way more difficult arguments)

Consequences: For a homogenous fluid we obtain

$$\beta \mu = \log \rho - L \log(1 - L\rho) + (L-1) \log(1 - (L-1)\rho)$$

$$\beta p = \log \frac{1 - (L-1)\rho}{1 - L\rho}$$

Clearly, chemical potential and pressure are diverging when  $\rho \rightarrow \frac{1}{L}$   
(close-packing limit)

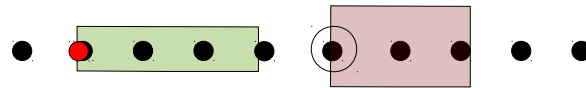
(Lafuente and Cuesta, JPCM 2002, PRL 2004)

# I. Hard rods on discrete lattices: LC functional - 1D

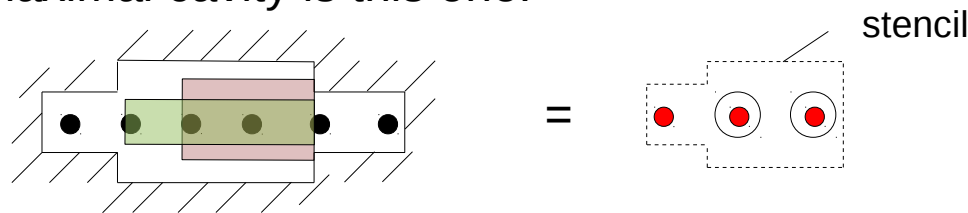
One dimension and many components

Example:  $M=2$  components with  $L_1=3$  and  $L_2=2$

It helps to imagine that species 2 is slightly thicker:



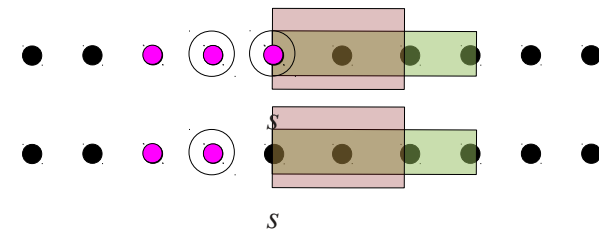
The maximal cavity is this one:



Therefore, we can generalize the weighted densities

$$n^{(1)}(s) = \sum_{s'=s-L_1+1}^s \rho_{1,s'} + \sum_{s'=s-L_2+1}^s \rho_{2,s'}$$

$$n^{(0)}(s) = \sum_{s'=s-L_1+1}^{s-1} \rho_{1,s'} + \sum_{s'=s-L_2+1}^{s-1} \rho_{2,s'}$$



and our functional still does the job! (Try yourself graphically with the stencil!)

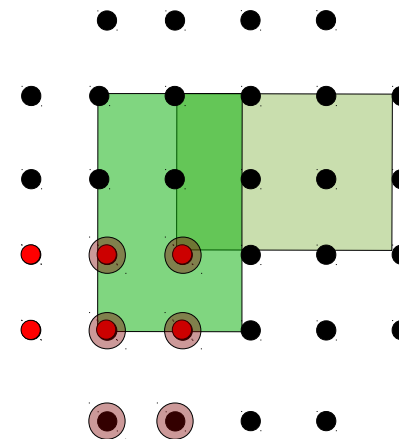
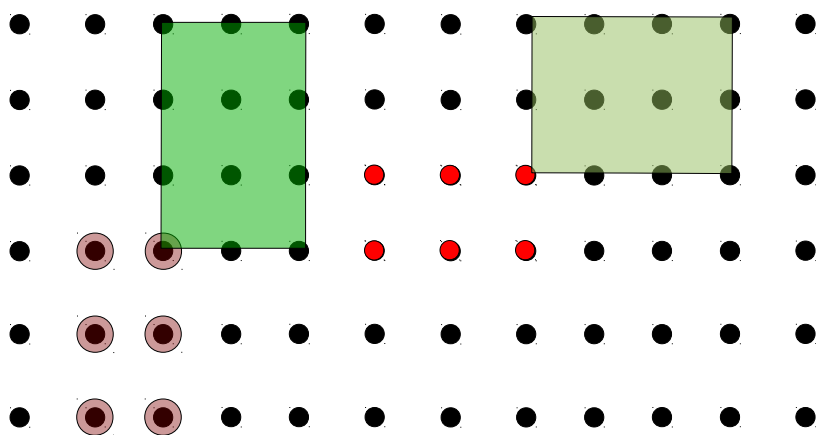
$$F^{\text{ex}}[\rho] = \sum_{s=-\infty}^{\infty} \Phi^{0\text{D}}(n^{(1)}(s)) - \sum_{s=-\infty}^{\infty} \Phi^{0\text{D}}(n^{(0)}(s))$$

# I. Hard rods on discrete lattices: LC functional - $D > 1$

Two dimensions (and more ...)

It is still true that the set of points with nonzero density in a maximal cavity corresponds to the set of points „covered by a rod“

Example: Rods with size  $2 \times 3$



maximal cavity

Thus we generalize the weighted density  $n^{(1)}$  :

$$n^{(1,1)}(s) = \sum \begin{matrix} \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{matrix}^s + \sum \begin{matrix} \circ & \circ \\ \circ & \circ \\ \circ & \circ \end{matrix}^s$$

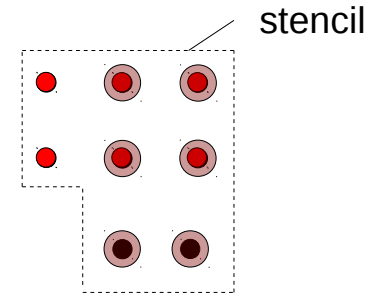
(sum over densities at the points depicted)

# I. Hard rods on discrete lattices: LC functional - $D > 1$

Two dimensions (and more ...)

The trial functional would then be

$$\beta F^{\text{ex}}[\rho] = \sum_{\mathbf{s}=(s_x, s_y)} \Phi^{0D}(n^{(1,1)}(\mathbf{s}))$$



Apply this to the maximal cavity (move the stencil over the cavity density distribution).

The thus generated extra terms can all be eliminated by extending the functional to:

$$\beta F^{\text{ex}}[\rho] = \sum_{\mathbf{s}=(s_x, s_y)} \Phi^{0D}(n^{(1,1)}(\mathbf{s})) - \sum_{\mathbf{s}=(s_x, s_y)} \Phi^{0D}(n^{(0,1)}(\mathbf{s})) - \sum_{\mathbf{s}=(s_x, s_y)} \Phi^{0D}(n^{(1,0)}(\mathbf{s})) + \sum_{\mathbf{s}=(s_x, s_y)} \Phi^{0D}(n^{(0,0)}(\mathbf{s}))$$

$$n^{(0,1)}(\mathbf{s}) = \sum \begin{array}{cc} \bullet & \bullet \\ \bullet & \bullet \end{array} \times \begin{array}{c} s \\ \times \end{array} + \sum \begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} \times \begin{array}{c} s \\ \times \end{array}$$

$$n^{(1,0)}(\mathbf{s}) = \sum \begin{array}{ccc} \times & \times & \times \\ \bullet & \bullet & \bullet \end{array} \times \begin{array}{c} s \\ \times \end{array} + \sum \begin{array}{cc} \times & \times \\ \bullet & \bullet \end{array} \times \begin{array}{c} s \\ \times \end{array}$$

$$n^{(0,0)}(\mathbf{s}) = \sum \begin{array}{ccc} \times & \times & \times \\ \bullet & \bullet & \times \end{array} \times \begin{array}{c} s \\ \times \end{array} + \sum \begin{array}{cc} \times & \times \\ \bullet & \times \end{array} \times \begin{array}{c} s \\ \times \end{array}$$



# I. Hard rods on discrete lattices: LC functional - $D > 1$

Two dimensions (and more ...): summary

So, the general form of the Lafuente-Cuesta functional on hypercubic lattices is given by

$$\beta F^{\text{ex}} = \sum_{\mathbf{s}} D_{\alpha_1} \dots D_{\alpha_d} \Phi^{0D}(n^{(\alpha_1, \dots, \alpha_d)}(\mathbf{s}))$$

where

$$D_{\alpha} f(\dots, \alpha, \dots) = f(\dots, 1, \dots) - f(\dots, 0, \dots)$$

$d$  number of dimensions

$n^{(\alpha_1, \dots, \alpha_d)}(\mathbf{s})$  weighted densities ( $\alpha_i = 0, 1$ )

$$n^{(\alpha_1, \dots, \alpha_d)}(\mathbf{s}) = \sum_{p=1}^M \left( \sum_{s'_1=s_1-L_1^p}^{s_1-1+\alpha_1} \dots \sum_{s'_d=s_d-L_d^p}^{s_d-1+\alpha_d} \right) \rho_{p, \mathbf{s}'} =: \sum_{p=1}^M w_p^{\alpha} * \rho_p(\mathbf{s})$$

discrete convolution

$M$  number of parallelepiped species

$\mathbf{L}^p = \{L_{1, \dots, d}^p\}$  vector of parallelepiped side lengths of species  $p$

$\mathbf{s} = \{s_{1, \dots, d}\}$  lattice position vector

$w_p^{\alpha}(\mathbf{s})$  weight function for species  $p$

$\alpha = \{\alpha_{1, \dots, d}\}$  weight function index

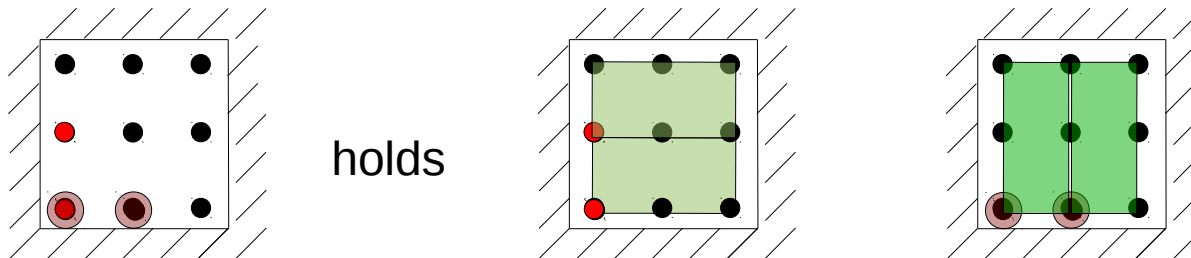
# I. Hard rods on discrete lattices: LC functional - $D > 1$

Two dimensions (and more ...)

*Unfortunately, these functionals in 2D and 3D are not exact anymore...*

Reasons? „Correlations“ in 2-particle cavities

Example: Correlated 2-particle cavity for rods with length  $2 \times 1$



Consequently terms in the free energy should depend on

•  
• and •• separately

But the 0D-functional delivers only

•• + •• + •• + •• - •• - •• - ••

*...but they might offer a good picture of the model to start with!*

# I. Hard rods on discrete lattices: LC functional

Results: 3D - rods with length  $1 \times 1 \times L$

order parameter: 
$$Q = \frac{\rho_1 - (\rho_2 + \rho_3)/2}{\rho}$$

$Q > 0$ : 1 is majority species

$Q < 0$ : 1 is minority species

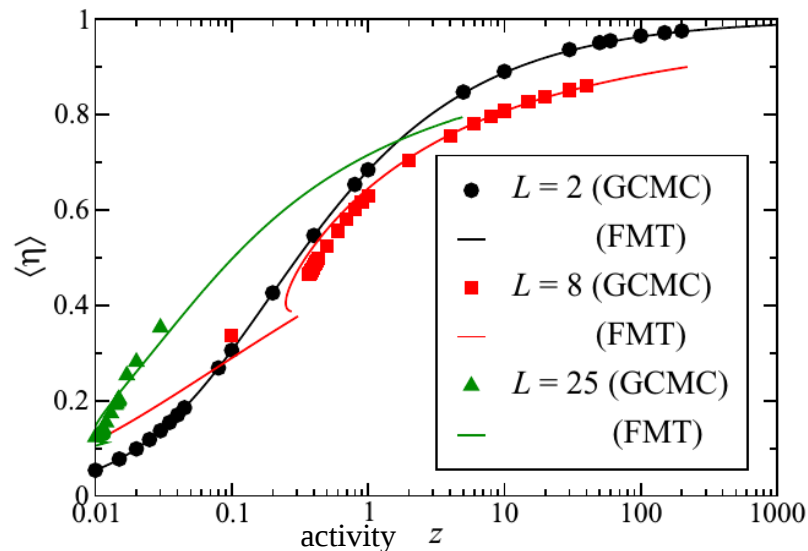
## FMT

- nematic transition always with one majority species for  $L \geq 4$
- strong first order transition (similar to continuum hard rods)

## SIM

- nematic transition for  $(L \geq 7)$ : one minority species  $L \geq 5$  one majority species ( $L = 5, 6$ )
- very weak first order transition (unlike continuum hard rods): strong fluctuations

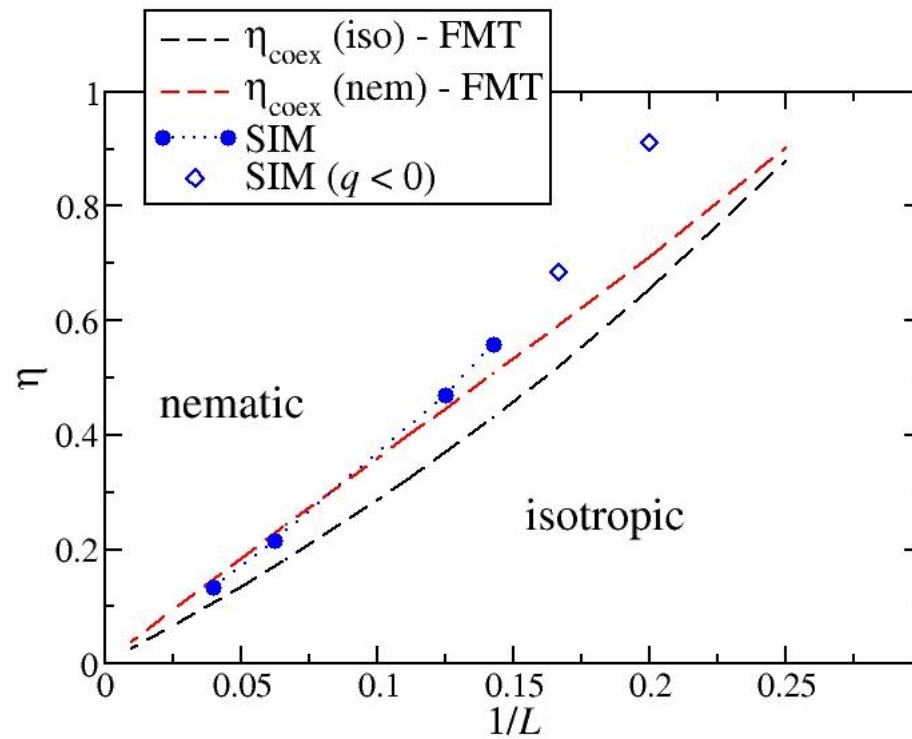
“packing” is well described



# I. Hard rods on discrete lattices: LC functional

Results: 3D - rods with length  $1 \times 1 \times L$

Phase diagram



in simulations:  
no density gap detectable!