Pronominal reference in discourse: Ambiguity resolved by form and position

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This paper discusses how parallel apparent referential ambiguity of anaphors are resolved by means of different strategies cross-linguistically. More specifically, we explore parallels between the placement of pronominal objects with propositional antecedents in Norwegian, and the use of pronouns *es* (it) and *das* (that) in German.

In Norwegian, while pronominal objects with nominal antecedents typically undergo so-called *Object Shift* (OS), i.e. shift across adverbs and negation, pronominal objects with propositional antecedents generally do not undergo OS. However, when these elements refer to a proposition that constitutes the *continuing topic* in the discourse, OS is available. This potentially explains the position differences of the two instances of *det* in (1).

(1) John gikk til jobben.

(Norw.)

John walked to work the

Maria forventet ikke det₁. Susanne forventet det₂ heller ikke.

Maria expected not it Susanne expected it either not

'John walked to work. Maria didn't expect that. Susanne didn't expect it either.'

This is reminiscent of a pattern observed in German. However, in German the pattern does not concern object *position*, but rather the *form* of the pronominal element referring to a clausal antecedent. While the pronoun *es* typically refers to a (neuter) nominal antecedent, the pronoun *das* is generally used to refer back to propositional antecedents: However, *es* is also sometimes available for propositional antecedents, as shown in (2):

(2) Sie meint, dass Johannes tüchtig ist.

(Germ.)

she thinks that Johannes clever is

Ich sehe das nicht so, und Karen sieht es auch nicht so.

I see that not so and Karen sees it also not. so 'She thinks that Johannes is clever, but I don't think so, and Karen doesn't think so either '

In this study, we find parallels between the use of OS and *es*, on the one hand, and lack of OS and *das*, on the other. Based on judgements of dialogues, we propose that -OS/das is preferred when referring to a proposition that is not yet established as part of the common ground, as in B's response below. In contrast, when referring to a proposition that *is* part of the common ground in the discourse OS/es is preferred, as in A's follow-up.

(3) A: Did Vera get fired?

B: Norwegian:

Ja, visste du {?det} ikke {det}?

yes knew you that not that
'Yes didn't you know?'

A: Norwegian:

Hun forteller {det} vel ikke
she tells it probably not
{??det} til noen ennå
it to anyone yet
'She has probably not told anyone.'

German:

Ja, wusstest du **??es/das** nicht? yes knew you that not

German:

Sie hat es/?das wohl she has it probably niemanden erzählt no one told

Thus we propose a unified account for the distribution of $\pm OS$ in Norwegian and *es* versus *das* in German based on the discourse status of the antecedent of the anaphor.