

# Mass in Newtonian gravity and general relativity

Carla Cederbaum

University of Tübingen

Colloquium @ Monash

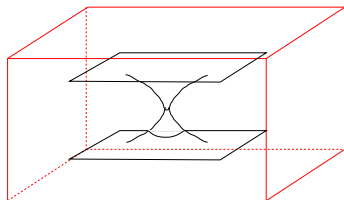
August 28, 2014

# Setting

We work in the setting of **isolated** gravitating systems

modeled in

- Newton's theory of gravity (NG)
- Einstein's general relativity (GR)



These model stars, galaxies, or (in GR) black holes.

# Aim

Better understand their:

- definition
- local and total mass
- local and total center of mass
- Newtonian limit  $c \rightarrow \infty$

# Contents

## 1 Setup in Newtonian gravity (NG)

- Mass and CoM in NG
- The Newtonian potential

## 2 Setup in general relativity (GR)

- Modeling
- Boundary conditions
- Center of mass
- Counter-example to Huisken-Yau definition

## 3 Newtonian limit

- Newtonian limit

# Contents

## 1 Setup in Newtonian gravity (NG)

- Mass and CoM in NG
- The Newtonian potential

## 2 Setup in general relativity (GR)

- Modeling
- Boundary conditions
- Center of mass
- Counter-example to Huisken-Yau definition

## 3 Newtonian limit

- Newtonian limit

# Mass and center of mass in NG

- A Newtonian gravitating system is described by its **matter density**

$$\rho : \mathbb{R}^3 \rightarrow [0, \infty).$$

- It is **isolated** if  $\rho$  decays “fast enough” for  $r \rightarrow \infty$ .
- Its **(total) mass** is defined as

$$m := \int_{\mathbb{R}^3} \rho dV.$$

- Its **(total) center of mass** (CoM) is defined as

$$\vec{z} := \frac{1}{m} \int_{\mathbb{R}^3} \rho \vec{x} dV$$

w. r. t. Euclidean coordinates  $(x^i)$  or position vector  $\vec{x}$ .

# Mass and center of mass in NG

- A Newtonian gravitating system is described by its **matter density**

$$\rho : \mathbb{R}^3 \rightarrow [0, \infty).$$

- It is **isolated** if  $\rho$  decays “fast enough” for  $r \rightarrow \infty$ .
- Its **(total) mass** is defined as

$$m := \int_{\mathbb{R}^3} \rho dV.$$

- Its **(total) center of mass** (CoM) is defined as

$$\vec{z} := \frac{1}{m} \int_{\mathbb{R}^3} \rho \vec{x} dV$$

w. r. t. Euclidean coordinates  $(x^i)$  or position vector  $\vec{x}$ .

# Mass and center of mass in NG

- A Newtonian gravitating system is described by its **matter density**

$$\rho : \mathbb{R}^3 \rightarrow [0, \infty).$$

- It is **isolated** if  $\rho$  decays “fast enough” for  $r \rightarrow \infty$ .
- Its **(total) mass** is defined as

$$m := \int_{\mathbb{R}^3} \rho dV.$$

- Its **(total) center of mass** (CoM) is defined as

$$\vec{z} := \frac{1}{m} \int_{\mathbb{R}^3} \rho \vec{x} dV$$

w. r. t. Euclidean coordinates  $(x^i)$  or position vector  $\vec{x}$ .



# Mass and center of mass in NG

- A Newtonian gravitating system is described by its **matter density**

$$\rho : \mathbb{R}^3 \rightarrow [0, \infty).$$

- It is **isolated** if  $\rho$  decays “fast enough” for  $r \rightarrow \infty$ .
- Its **(total) mass** is defined as

$$m := \int_{\mathbb{R}^3} \rho dV.$$

- Its **(total) center of mass** (CoM) is defined as

$$\vec{z} := \frac{1}{m} \int_{\mathbb{R}^3} \rho \vec{x} dV$$

w. r. t. Euclidean coordinates  $(x^i)$  or position vector  $\vec{x}$ .

# Sufficient fall-off

By theorem on dominated convergence ([Lebesgue integration](#)):

- Sufficient fall-off for convergence of mass  $m = \int_{\mathbb{R}^3} \rho dV$ :

$$\rho = \mathcal{O}\left(\frac{1}{r^{3+\varepsilon}}\right), \varepsilon > 0.$$

- Sufficient fall-off for convergence of mass  $\vec{z} := \frac{1}{m} \int_{\mathbb{R}^3} \rho \vec{x} dV$ :

$$\rho = \mathcal{O}\left(\frac{1}{r^{4+\varepsilon}}\right), \varepsilon > 0.$$

# Sufficient fall-off

By theorem on dominated convergence ([Lebesgue integration](#)):

- Sufficient fall-off for convergence of mass  $m = \int_{\mathbb{R}^3} \rho dV$ :

$$\rho = \mathcal{O}\left(\frac{1}{r^{3+\varepsilon}}\right), \varepsilon > 0.$$

- Sufficient fall-off for convergence of mass  $\vec{z} := \frac{1}{m} \int_{\mathbb{R}^3} \rho \vec{x} dV$ :

$$\rho = \mathcal{O}\left(\frac{1}{r^{4+\varepsilon}}\right), \varepsilon > 0.$$

## Sufficient fall-off ctd.

Alternatively, use **indefinite Riemann integrals in spherical polars**:

- Split  $\rho =: \rho_{symm} + \rho_{anti}$ .
- Observe that  $\rho_{symm}$  does not contribute to  $\vec{z}$ :

$$\lim_{R \rightarrow \infty} \int_{B_R(0)} \rho_{symm}(\vec{x}) \vec{x} dV(\vec{x}) = \lim_{R \rightarrow \infty} \int_0^R \int_{\mathbb{S}^2} \rho_{symm}(r\vec{\eta}) \vec{\eta} d\sigma(\vec{\eta}) r^3 dr = \vec{0}.$$

- Only  $\rho_{anti}$  contributes to  $\vec{z}$ .
- Thus,  $\rho$  should be **asymptotically symmetric**.
- Corresponds to “Regge-Teitelboim conditions” in general relativity.

# Critical fall-off: What happens when $\rho = \mathcal{O}(\frac{1}{r^4})$ ?

- If  $\rho = \frac{1}{r^4}$  then  $\vec{z} = \vec{0}$  converges.
- If  $\rho = \frac{1}{r^4} \left( |\vec{a}| + \frac{\vec{a} \cdot \vec{z}}{r} \right) \geq 0$  for  $\vec{a} \neq \vec{0}$  then

$$\int_{\mathbb{R}^3} \rho \vec{x} dV \approx \lim_{R \rightarrow \infty} \int_0^R \frac{1}{r} dr \cdot \frac{4\pi}{3} \vec{a} \quad \text{diverges logarithmically.}$$

- If  $\rho = \frac{1}{r^4} \left( |\vec{a}| + \sin(r) \frac{\vec{a} \cdot \vec{z}}{r} \right) \geq 0$  for  $\vec{a} \neq \vec{0}$  then

$$\vec{z} = \frac{1}{m} \int_{\mathbb{R}^3} \rho \vec{x} dV = \frac{2\pi^2}{3m} \vec{a} \quad \text{gives a prescribed center of mass.}$$

## Critical fall-off: What happens when $\rho = \mathcal{O}(\frac{1}{r^4})$ ?

- If  $\rho = \frac{1}{r^4}$  then  $\vec{z} = \vec{0}$  converges.
- If  $\rho = \frac{1}{r^4} \left( |\vec{a}| + \frac{\vec{a} \cdot \vec{z}}{r} \right) \geq 0$  for  $\vec{a} \neq \vec{0}$  then

$$\int_{\mathbb{R}^3} \rho \vec{x} dV \approx \lim_{R \rightarrow \infty} \int \frac{1}{r} dr \cdot \frac{4\pi}{3} \vec{a} \quad \text{diverges logarithmically.}$$

- If  $\rho = \frac{1}{r^4} \left( |\vec{a}| + \sin(r) \frac{\vec{a} \cdot \vec{z}}{r} \right) \geq 0$  for  $\vec{a} \neq \vec{0}$  then

$$\vec{z} = \frac{1}{m} \int_{\mathbb{R}^3} \rho \vec{x} dV = \frac{2\pi^2}{3m} \vec{a} \quad \text{gives a prescribed center of mass.}$$

## Critical fall-off: What happens when $\rho = \mathcal{O}(\frac{1}{r^4})$ ?

- If  $\rho = \frac{1}{r^4}$  then  $\vec{z} = \vec{0}$  converges.
- If  $\rho = \frac{1}{r^4} \left( |\vec{a}| + \frac{\vec{a} \cdot \vec{z}}{r} \right) \geq 0$  for  $\vec{a} \neq \vec{0}$  then

$$\int_{\mathbb{R}^3} \rho \vec{x} dV \approx \lim_{R \rightarrow \infty} \int \frac{1}{r} dr \cdot \frac{4\pi}{3} \vec{a} \quad \text{diverges logarithmically.}$$

- If  $\rho = \frac{1}{r^4} \left( |\vec{a}| + \sin(r) \frac{\vec{a} \cdot \vec{z}}{r} \right) \geq 0$  for  $\vec{a} \neq \vec{0}$  then

$$\vec{z} = \frac{1}{m} \int_{\mathbb{R}^3} \rho \vec{x} dV = \frac{2\pi^2}{3m} \vec{a} \quad \text{gives a prescribed center of mass.}$$

# Contents

## 1 Setup in Newtonian gravity (NG)

- Mass and CoM in NG
- The Newtonian potential

## 2 Setup in general relativity (GR)

- Modeling
- Boundary conditions
- Center of mass
- Counter-example to Huisken-Yau definition

## 3 Newtonian limit

- Newtonian limit



# Reminder: the Newtonian potential

Newtonian gravity (NG) is governed by

## Newton's equation

$$\Delta U = 4\pi G\rho \quad \text{in } \mathbb{R}^3$$

where

- $U$  is the **Newtonian potential**
- $\rho$  is the matter density
- $G$  is Newton's gravitational constant

# Asymptotic behavior

It is well-known that

## Theorem

*Suitably isolated systems (solutions of Newton's equation) satisfy*

$$U = -\frac{mG}{r} - \frac{mG \vec{z} \cdot \vec{x}}{r^3} + \mathcal{O}_2\left(\frac{1}{r^3}\right)$$

*in canonical coordinates, where  $m$  is the Newtonian mass and  $\vec{z} \in \mathbb{R}^3$  is the Newtonian center of mass (CoM).*

The **critical order**  $\rho = \mathcal{O}\left(\frac{1}{r^4}\right)$  corresponds to the critical order

$$U = -\frac{mG}{r} + \mathcal{O}_2\left(\frac{1}{r^2}\right).$$

# Contents

## 1 Setup in Newtonian gravity (NG)

- Mass and CoM in NG
- The Newtonian potential

## 2 Setup in general relativity (GR)

- **Modeling**
- Boundary conditions
- Center of mass
- Counter-example to Huisken-Yau definition

## 3 Newtonian limit

- Newtonian limit

# Formal structure of GR

A **relativistic gravitating system/spacetime** consists of

- a spacetime 4-manifold  $M^4$
- a symmetric  $(0, 2)$ -matter tensor field  $T$
- a Lorentzian **metric**  ${}^4g$

satisfying the Einstein equations

$${}^4\text{Ric} - \frac{1}{2} {}^4R {}^4g = \frac{8\pi G}{c^4} T$$

with gravitational constant  $G$ , speed of light  $c$ .

# Most important example: Schwarzschild spacetime

Lorentzian metric:

$${}^4g = -N^2 dt^2 + {}^Sg$$

Timeslice metric:

$${}^Sg_{ij} = \left(1 + \frac{mG}{2rc^2}\right)^4 \delta_{ij}$$

Lapse function:

$$N = \frac{1 - \frac{mG}{2rc^2}}{1 + \frac{mG}{2rc^2}}$$

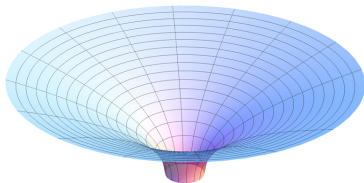
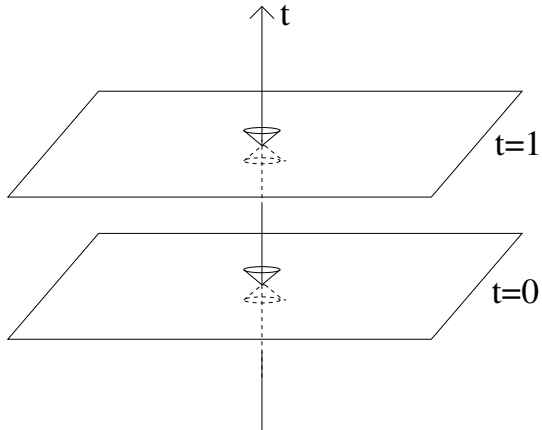


Figure : Timeslice of Schwarzschild source: AllenMcC,

[wikipedia.org/wiki/Schwarzschild\\_metric](https://wikipedia.org/wiki/Schwarzschild_metric)

# 3+1 decomposition: making it physical



## 3+1 decomposition

### Theorem (Choquet-Bruhat et al. 1952)

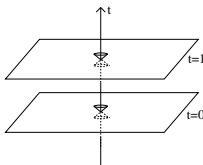
*The Einstein equations can be reformulated as a well-posed hyperbolic initial value problem (for suitable matter models).*

#### Remarks:

- involves (non-canonical) 3+1 decomposition, constraint equations
- involves choice of coordinates (lapse and shift)
- gives rise to phenomena like gravitational waves
- IVP approach is used in numerical simulations

# Timeslices

- The initial data for the Choquet-Bruhat initial value problem is a **timeslice**  $\{t = 0\}$ .
- It is represented by a 3-dimensional **Riemannian manifold**  $(M^3, g)$ , together with a second fundamental form  $(0, 2)$ -tensor  $K$  on  $M^3$ .
- $g, K$  satisfy **constraint equations** induced by Einstein's equation.
- For simplicity, we will ignore  $K$  from now on.





# Contents

## 1 Setup in Newtonian gravity (NG)

- Mass and CoM in NG
- The Newtonian potential

## 2 Setup in general relativity (GR)

- Modeling
- **Boundary conditions**
- Center of mass
- Counter-example to Huisken-Yau definition

## 3 Newtonian limit

- Newtonian limit

# Asymptotically Schwarzschildian ends

An **asymptotically Schwarzschildian (AS) end** is

- a three-dimensional Riemannian manifold  $(M^3, g)$
- diffeomorphic to  $\mathbb{R}^3 \setminus B_1(0)$
- satisfying fall-off conditions as  $|\vec{x}| =: r \rightarrow \infty$
- formulated as **deviation** from the (spatial) Schwarzschild metric

$${}^S g_{ij} = \left(1 + \frac{mG}{2rc^2}\right)^4 \delta_{ij},$$

with  $m$  the mass parameter.

# Summary: the role of AS ends in GR

In GR, asymptotically Schwarzschildian ends appear as models of

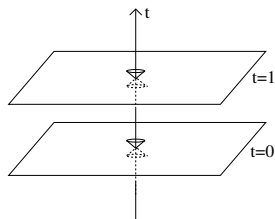


Figure : timeslices

- (spacelike) timeslices
- the exterior regions of isolated gravitating systems, e.g.
  - ▶ stars or
  - ▶ black holes.

# Summary: the role of AS ends in GR

In GR, asymptotically Schwarzschildian ends appear as models of

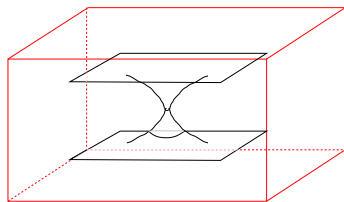


Figure : isolated system

- (spacelike) timeslices
- the **exterior regions** of isolated gravitating systems, e.g.
  - ▶ stars or
  - ▶ black holes.

# Summary: the role of AS ends in GR

In GR, asymptotically Schwarzschildian ends appear as models of

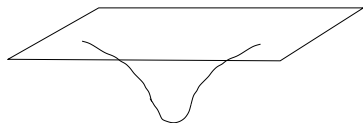


Figure : a star

- (spacelike) timeslices
- the **exterior regions** of isolated gravitating systems, e.g.
  - ▶ stars or
  - ▶ black holes.

# Summary: the role of AS ends in GR

In GR, asymptotically Schwarzschildian ends appear as models of

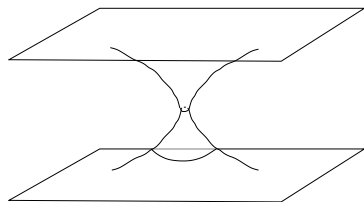


Figure : a black hole

- (spacelike) timeslices
- the **exterior regions** of isolated gravitating systems, e.g.
  - ▶ stars or
  - ▶ black holes.

# Asymptotic charts: other representation

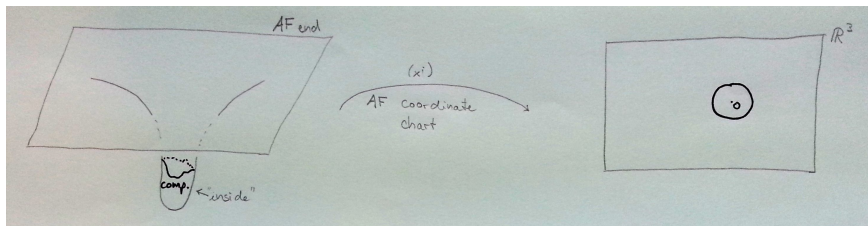


Figure : AS coordinate chart

# Mass in GR

- The **(total) mass** of an asymptotically Schwarzschildian end (timeslice) is given by the parameter  $m$ .
- In GR, there is a more general definition of mass by Arnowitt-Deser-Minner '68 called  $m_{ADM}$ , using surface integrals w. r. t. the asymptotic coordinates.
- Here,  $m_{ADM} = m$ .



# Contents

## 1 Setup in Newtonian gravity (NG)

- Mass and CoM in NG
- The Newtonian potential

## 2 Setup in general relativity (GR)

- Modeling
- Boundary conditions
- **Center of mass**
- Counter-example to Huisken-Yau definition

## 3 Newtonian limit

- Newtonian limit

# Definitions of center of mass in GR

CoM is a difficult concept:

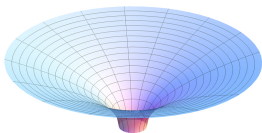


Figure : Timeslice of Schwarzschild

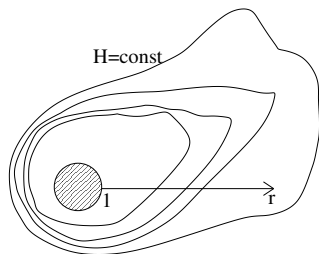
Several definitions of CoM in the literature:

- Definition à la ADM: Regge-Teitelboim '74, Beig-Ó Murchadha '86.
- Geometric definition by Huisken-Yau '96.
- Several others (Schoen, Corvino-Wu, Wang-Yau, . . .).

# Huisken-Yau definition of CoM

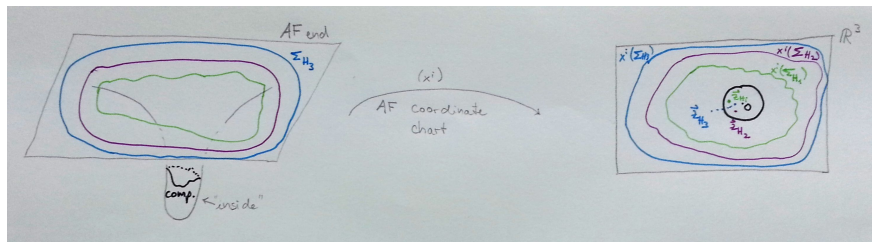
## Theorem (Huisken-Yau 1996)

In any asymptotically Schwarzschildian Riemannian end with mass  $m > 0$ , there exists a **unique foliation near infinity** by stable spheres of constant mean curvature (CMC).



- “Precise” asymptotic condition:  $g_{ij} = Sg_{ij} + \mathcal{O}_4(\frac{1}{r^2})$ .
- Assumptions improved by Metzger, Huang, Nerz, ...
- C.-Nerz: **abstract center of mass**

# Huisken-Yau definition of CoM ctd.



## Theorem (Huisken-Yau 1996 ctd.)

Euclidean center  $\vec{z}_H$  of  $\Sigma_H$  and **(total) center  $\vec{z}_{Huisken-Yau}$**  are defined as

$$\vec{z}_H := \oint_{x^i(\Sigma_H)} \vec{x} d\sigma, \quad \vec{z}_{Huisken-Yau} := \lim_{H \rightarrow 0} \vec{z}_H.$$

# Huisken-Yau definition of CoM ctd.

## Theorem (Huisken-Yau 1996 ctd.)

Euclidean center  $\vec{z}_H$  of  $\Sigma_H$  and (total) center  $\vec{z}_{\text{Huisken-Yau}}$  are defined as

$$\vec{z}_H := \oint_{x^i(\Sigma_H)} \vec{x} d\sigma, \quad \vec{z}_{\text{Huisken-Yau}} := \lim_{H \rightarrow 0} \vec{z}_H.$$

- C.-Nerz: *coordinatization of abstract CoM w. r. t. chosen chart near infinity*
- coincides with other notions of CoM by Regge-Teitelboim, Beig-Ó Murchadha, Schoen, Wang-Yau, ...  
[Huang, Eichmair-Metzger, Nerz, ...]

# Contents

## 1 Setup in Newtonian gravity (NG)

- Mass and CoM in NG
- The Newtonian potential

## 2 Setup in general relativity (GR)

- Modeling
- Boundary conditions
- Center of mass
- Counter-example to Huisken-Yau definition

## 3 Newtonian limit

- Newtonian limit

# However:

## Theorem (C.-Nerz 2014)

*The total CoM limit*

$$\vec{z}_{\text{Huisken-Yau}} := \lim_{H \rightarrow 0} \vec{z}_H$$

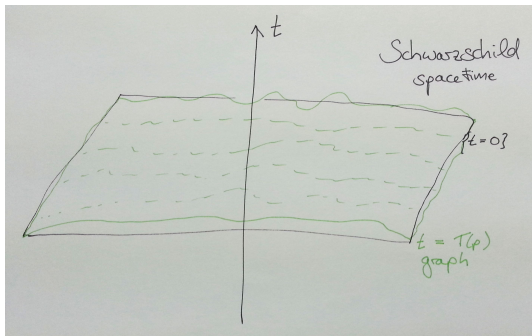
*does not always converge under the assumptions of Huisken-Yau. It does however converge under the stronger assumption*

$$g_{ij} = {}^S g_{ij} + \mathcal{O}\left(\frac{1}{r^{2+\varepsilon}}\right)$$

*for any  $\varepsilon > 0$ .*

- Different explicit counter-examples to Huisken-Yau assertion.
- All coinciding center of mass definitions also diverge.

# C.-Nerz counter-example



Pick graph function

$$t = T(\vec{x}) = \sin(\ln r) + \frac{\vec{a} \cdot \vec{x}}{r}, \quad \vec{a} \neq \vec{0}.$$



# Remarks

- Counter-example satisfies the (vacuum) constraint equations.
- Other counter-example related to motion in spacetime,
- simplified by Chan-Tam to conformally flat example

$$g_{ij} = \left( 1 + \frac{4mG}{rc^2} + \sin(\ln r) \frac{mG \vec{a} \cdot \vec{x}}{r^3 c^2} \right) \delta_{ij}, \quad \vec{a} \neq \vec{0}.$$

- Same critical order of decay as (critical) Newtonian example!
- Can also **prescribe** a freely chosen CoM at the critical order.

# Contents

## 1 Setup in Newtonian gravity (NG)

- Mass and CoM in NG
- The Newtonian potential

## 2 Setup in general relativity (GR)

- Modeling
- Boundary conditions
- Center of mass
- Counter-example to Huisken-Yau definition

## 3 Newtonian limit

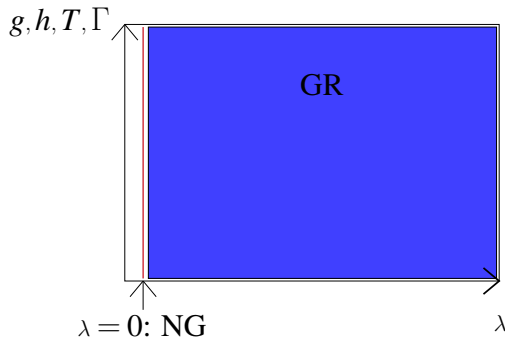
- Newtonian limit

# Newtonian limit

Ehlers constructed **Frame Theory** (FT) encompassing GR and NG

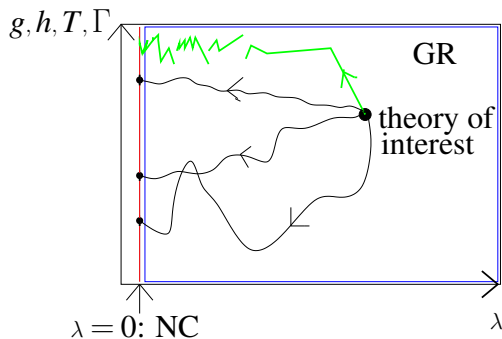
→ Frame Theory allows rigorous definition of **Newtonian Limit**  $c \rightarrow \infty$ .

→ Rendall, Oliynyk, ... showed existence of converging families.



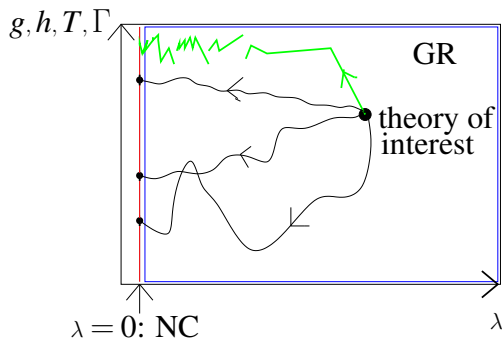
# Newtonian limit

- Ehlers constructed **Frame Theory** (FT) encompassing GR and NG
- Frame Theory allows rigorous definition of **Newtonian Limit**  $c \rightarrow \infty$ .
  - Rendall, Oliynyk, ... showed existence of converging families.



# Newtonian limit

- Ehlers constructed **Frame Theory** (FT) encompassing GR and NG
- Frame Theory allows rigorous definition of **Newtonian Limit**  $c \rightarrow \infty$ .
  - Rendall, Oliynyk, . . . showed existence of converging families.



# Static Newtonian limit

In the static (non-dynamical) setting:

## Theorem (C. 2011)

- One can define “staticity” and asymptotic decay in FT.
- One can define **local and total mass** in static FT coinciding with ADM and Newtonian mass, respectively.
- One can define **local and total CoM** in static FT coinciding with Huisken-Yau and Newtonian CoM, respectively.
- Static AS spacetimes converge to static isolated Newtonian systems in the Newtonian limit as  $c \rightarrow \infty$ .
- Then mass and CoM are continuous w. r. t. the Newtonian limit:

$$\lim_{c \rightarrow \infty} m_{ADM}(c) \rightarrow m_{Newtonian},$$

$$\lim_{c \rightarrow \infty} \vec{z}_{Huisken-Yau}(c) \rightarrow \vec{z}_{Newtonian}.$$

## Strategy of proof

- Rewrite static GR in “pseudo-Newtonian variables”.
- Rewrite Newtonian mass as surface integral by divergence thm:

$$m = \int_{\mathbb{R}^3} \rho dV = \int_C \rho dV = \frac{1}{4\pi G} \int_C \Delta U dV = \frac{1}{4\pi G} \int_{\partial C} \frac{\partial U}{\partial \nu} d\sigma$$

where  $C$  is any compact domain with  $\partial C$  smooth and  $\text{supp } \rho \subset C$ .

- Mimic this in pseudo-Newtonian gravity.
- Repeat with center of mass.
- Define Killing vector fields etc. consistently in frame theory.
- Analyze continuity in the right function spaces.

Remark: Theorem is consistent with counter-examples of C.-Nerz.

Thank you for your attention!

