



## Tenure track professorship for Economic History and Empirical Macroeconomics

**Evaluation criteria and standards for interim and final evaluation procedures for assistant professors with tenure track (= tenure track professors) according to the university's Quality Assurance Concept\* (QSK) Part 1, VI with subject-specific requirements and weighting of the criteria. In accordance with QSK Part 1, III, 2 the faculty determines the qualification criteria that it considers to be necessary.**

### Criteria and requirements for the interim evaluation

The criteria for the final evaluation apply. In comparison to the final evaluation, the status of the tenure track professor's achievements with regard to the criteria should be documented and strengths and weaknesses with regard to fulfilling the criteria for a positive final evaluation should be identified. This also includes an assessment of the extent to which the criteria in the final evaluation can realistically be met in view of the achievements to date.

The criteria in category 1 are also of key importance for the interim evaluation. However, these criteria are not quantified separately for the interim evaluation. The reason for this is that in many cases quantification is not yet possible due to the long review processes of the leading journals.

The status consultation that takes place between the interim and final evaluation (QSK Part 1, III, 4) starts with the result and assessment of the interim evaluation. This is done in order to assess the further development of qualification and to give adequate advice.

### Criteria and requirements for the final evaluation

The weighting of the criteria is realised by grouping them into three categories. Within these categories, the weighting is realised from top to bottom.

**Category 1: Key evaluation criteria that must be assessed positively for a positive final evaluation and that are given particular weight in the final evaluation.**

Criteria according to the QSK, part 1, VI.1-VI.3		Subject-specific requirements
Research		
1	Quality and quantity of publications as sole author or as co-author or as "corresponding author" (significance of research work in international comparison, contribution to further development of the research field, reception and evaluation of the publication (citations, impact factors etc.), distinctions and prizes)	1. A mandatory requirement for a successful final evaluation is that the Commission assesses the tenure-track professor's research achievements positively in terms of quality and quantity. In typical cases, this can be established by articles published or accepted for publication in journals recognized as being leading international journals (that is, recog-

\*QSK = Quality Assurance Concept for junior professorships according to § 48(1) sentence 4 State Higher Education Act (LHG) with tenure track and evaluation statutes concerning junior professors and junior professorships at the University of Tübingen from 12 October 2018 with a first amendment of the statutes from 11 January 2019

		<p>nized as such by major national and international institutions). Of this, for the final evaluation, 4 of the papers should have been published or accepted for publication in top general interest journals such as JEEA, EJ, or Review of Economics and Statistics, the American Economic Journals, and of course the top 5. Alternatively, papers can be published in top field journals (e.g. Journal of Economic History, Journal of Monetary Economics, Journal of International Economics, Review of Economic Dynamics).</p> <p>Upon the request of the tenure-track professor, leading journals from relevant subjects may be recognized as top field journals. The decision is made by the Evaluation Commission upon the request of the tenure-track professor during the interim evaluation and, if the request is not made until after the interim evaluation, the Commission makes the decision for the final evaluation.</p> <ol style="list-style-type: none"> <li>2. During the evaluation, the Commission determines and considers co-authorships and, if applicable, the individual contribution of the tenure-track professor. A publication can only be considered (fully) if the tenure-track professor's contribution to the publication was at least substantial. For each of the publications to be considered, the tenure-track professor describes in writing which contribution she/he made to the publication.</li> <li>3. In the evaluation, the Commission considers the scientific contribution of the publications.</li> <li>4. These criteria can only be considered to be fulfilled if the tenure-track professor's work was completed according to the typical standards of good scientific practice (guidelines from the University and the DFG).</li> </ol> <p><i>A positive assessment of this criterion in the context of the final evaluation is a mandatory qualification.</i></p>
<b>Teaching</b>		
1	Classes/courses taught (type, workload, scope)	<p>The tenure track professor is expected to have gained teaching experience in the teaching formats typical for the department (e.g., lectures, seminars).</p> <p><i>A positive assessment of this criterion in the final evaluation is a necessary qualification feature.</i></p>

2	<p>Teaching performance and didactic aptitude, documented by</p> <ul style="list-style-type: none"> <li>• at least two teaching evaluations in the case of the interim evaluation;</li> <li>• the results of the teaching evaluations considered during the interim evaluation and at least one further teaching evaluation</li> <li>• a statement by the Vice-Dean of Academic Affairs and</li> <li>• in the case of a final evaluation of a tenure track professorship, an academic lecture open to the whole University in the research area of the tenure track professorship including subsequent discussion.</li> </ul>	<p>The tenure track professor is expected to be successful in the teaching listed under Teaching 1. This can for example be proven by good teaching evaluation results.</p> <p><i>A positive assessment of this criterion in the final evaluation is a necessary qualification feature.</i></p>
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**Category 2: Important criteria to be considered in the final evaluation. These criteria cannot compensate for shortcomings in meeting the criteria of category 1**

Criteria according to the QSK, part 1, VI.1-VI.3		Subject-specific requirements
<b>Research</b>		
3	Research projects (type, scope, innovative/interdisciplinary in nature)	The tenure track professor is expected to have an above-average portfolio of relevant and innovative research projects that have the potential to produce high-ranking, internationally visible publications.
4	Third-party funding (amount, institution)	The tenure track professor is expected to demonstrate third-party funding activity (e.g., participation in grant proposals) equal to the typical level for the subject.
5	Academic collaboration and participation in joint research	The tenure track professor is expected to participate in cooperative projects to an above-average extent for the subject.; work in joint research projects is desirable.
8	Impact activities (society, economy, politics)	The tenure track professor is expected to develop perspectives for possible transfer activities into practice-oriented areas.
10	Participation in doctoral qualification processes and doctorates supervised	The tenure track professor is expected to participate in the supervision of at least three doctoral qualification processes.
<b>Academic self-administration</b>		
1	Membership on committees	The tenure track professor is expected to be active in academic self-administration committees to the extent customary for tenure track profes-

		sors. It is expected that her/his work is characterized by professionalism, integrity, and the ability to work in a team.  <i>A positive assessment of this criterion in the final evaluation is a necessary qualification feature.</i>
2	Taking on extra responsibilities in the department	The tenure track professor is expected to be active in the departmental self-administration to the extent customary for tenure track professors. It is expected that her/his work is characterized by professionalism, integrity, and the ability to work in a team.  <i>A positive assessment of this criterion in the final evaluation is a necessary qualification feature.</i>
<b>Teaching</b>		
3	Participation in university examinations and theses supervised	The tenure track professor is expected to supervise examinations and final theses to the extent customary for tenure track professors.
5	Internationality	The tenure track professor is expected to have an international orientation that is above average.
6	Other, e.g. teaching prizes, advanced professional training in university teaching	Teaching prizes and advanced professional training in university teaching should be acknowledged.

**Category 3: Subordinate criteria that can be considered to round off the overall performance**

Criteria according to the QSK, part 1, VI.1-VI.3		Subject-specific requirements
<b>Research</b>		
2	Academic lectures and participation in symposia and events outside the University of Tübingen	The tenure track professor is expected to speak and present at high-ranking international conferences.
6	(Co)organization of specialist conferences	(Co-)organization of specialist conferences is welcomed but not expected.
7	Work for specialist organizations, education, government, or other institutions	The tenure track professor is expected to be present in relevant professional expert associations.
9	Activities as a referee, reviewer	The tenure track professor is expected to take part in review processes to the extent customary for tenure track professors.
11	Other distinctions, e.g. research prizes, patents, potential appointments to other institutions, editorial work	Awards, research prizes, and external appointments are to be acknowledged adequately during the evaluation.
<b>Other criteria</b>		

1	Advanced professional training in gender and diversity matters and personnel management and management.	Advanced professional training in gender and diversity matters and personnel management and management should be acknowledged adequately.
Academic self-administration		
3	Other, e.g., pan-university projects	Activities relevant to the subject that go beyond the criteria listed above should be acknowledged adequately.
Teaching		
4	Teaching materials	Achievements in this area are not expected.
6	participation in academic advisory services	Participation in academic advisory services is not expected.

## Equality

When evaluating tenure track professorships, the University of Tübingen's regulations on equal opportunities apply with regard to evaluation criteria and the time of evaluation.